

OFFICE OF THE STATE INSPECTOR GENERAL

MISSION

The Office of the State Inspector General is responsible for detecting, investigating, eliminating and deterring fraud, corruption, criminal activity, conflicts of interest and abuse in State government. Its mission is designed to ensure that State government maintains the highest standards of integrity and accountability.

ORGANIZATION AND STAFFING

The Office of the State Inspector General was fundamentally restructured pursuant to Executive Order 39 issued by the Governor in 1996. The Executive Order provided for the consolidation of most of the State's inspector general activities in a single office that replaced what were formerly separate, semi-independent deputy inspectors general based in the agencies they served. Led by the Inspector General who is appointed by the Governor, the Office is headquartered in Albany and has offices in New York City and Buffalo. The Office will have a workforce of 68 in 2004-05.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The 2004-05 Executive Budget recommendations provide \$4.1 million in State tax dollar support and \$1.7 million in Special Revenue funding.

PROGRAM HIGHLIGHTS

Since June 1996, the Office of the State Inspector General has acted on more than 7,000 complaints of fraud, criminal activity, waste and abuse involving State employees and resources. The Office has focused its investigative efforts on major cases involving theft, bribery, contract fraud, abuse of authority and other serious allegations of corruption. Currently, the Agency's investigators are working jointly with local, State and Federal law enforcement personnel and prosecutors on significant matters of public concern.

The Office's investigations have resulted in the arrest of more than 350 individuals, and referrals for discipline in numerous other cases. In addition, many cases have resulted in recommendations for administrative or policy changes. The State Inspector General's cases have uncovered instances of theft, bid rigging, bribery, creation of fraudulent documents, misuse of computers, narcotics trafficking and sexual assault. Equally important, the Office's investigations have, in many instances, cleared agencies and individuals of allegations that were unfounded or unsubstantiated.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available	Appropriations	Change	Reappropriations
	2003-04	Recommended 2004-05		Recommended 2004-05
State Operations	5,910,000	5,885,000	(25,000)	0
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	5,910,000	5,885,000	(25,000)	0

INSPECTOR GENERAL

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Full-Time Equivalent Positions (FTE)

Program	2003-04	2004-05	FTE Change
	Estimated FTEs 03/31/04	Estimated FTEs 03/31/05	
Inspector General			
General Fund	53	50	(3)
Special Revenue Funds - Other	18	18	0
Total	<u>71</u>	<u>68</u>	<u>(3)</u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available	Recommended	Change
	2003-04	2004-05	
General Fund	4,300,000	4,147,000	(153,000)
Special Revenue Funds - Other	1,610,000	1,738,000	128,000
Total	<u>5,910,000</u>	<u>5,885,000</u>	<u>(25,000)</u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available	Recommended	Change
	2003-04	2004-05	
Inspector General			
General Fund	4,300,000	4,147,000	(153,000)
Special Revenue Funds - Other	1,610,000	1,738,000	128,000
Total	<u>5,910,000</u>	<u>5,885,000</u>	<u>(25,000)</u>

STATE OPERATIONS - GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2004-05 RECOMMENDED (dollars)

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Inspector General	3,774,000	(56,000)	3,774,000	(56,000)
Total	<u>3,774,000</u>	<u>(56,000)</u>	<u>3,774,000</u>	<u>(56,000)</u>

STATE OPERATIONS - GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2004-05 RECOMMENDED (dollars)

Program	Total		Contractual Services	
	Amount	Change	Amount	Change
Inspector General	373,000	(97,000)	373,000	(97,000)
Total	<u>373,000</u>	<u>(97,000)</u>	<u>373,000</u>	<u>(97,000)</u>

INSPECTOR GENERAL

STATE OPERATIONS - OTHER THAN GENERAL FUND
SUMMARY OF APPROPRIATIONS AND CHANGES
2004-05 RECOMMENDED
(dollars)

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Inspector General	1,738,000	128,000	955,000	(6,000)
Total	<u>1,738,000</u>	<u>128,000</u>	<u>955,000</u>	<u>(6,000)</u>
Program	Nonpersonal Service			
	Amount	Change		
Inspector General	783,000	134,000		
Total	<u>783,000</u>	<u>134,000</u>		