

2008-09 Spending Plan	Agency Name: NYS Office of the Inspector General
	Agency Head: Joseph Fisch, Inspector General

A. Overview of Proposed Plan

A.1 Overall Approach

The New York State Office of the Inspector General (NYSIG) has historically strived to spend only what is necessary in each fiscal year. While we will continue this pattern of fiscal responsibility, we will also put forth measures to reduce our current budget by the required amount in this fiscal year, as well as stretch these saving over the following two years.

A.2 Achievement of Savings on a Recurring Basis

In order to achieve recurring savings, this agency will keep staffing below its current fill level of 70 persons. The average salary for this agency is approximately \$86,000. To obtain savings, we will not hire beyond 68 persons for a savings of \$172,000. In the last fiscal year this Office also re-evaluated our fleet of vehicles, surplussing those vehicles not usable and replacing older vehicles that were costly to maintain. For the next 3 years we will not need to purchase additional vehicles saving an estimated \$66,000 (at an average cost of \$22,000 for the purchase of one car per year). In addition, in the 2007-08 fiscal year, this Agency replaced computers for all staff at a cost of approximately \$70,000. As such we will not need to replace computers in large quantities over the next 3 years. As we would usually break up this type of purchase over the course of several years, we estimate that we can achieve a savings of approximately \$6,000 per year (10 computers per year multiplied by approx. \$600 per computer).

A.3 Protecting Key Priorities

The key priority of this Office is to carry out our mission to detect, investigate, deter and eliminate corruption, fraud, criminal activity, conflicts of interest, abuses of office, and waste in the State entities under our jurisdiction. These include Executive Branch agencies, departments, divisions, offices, boards, commissions, public authorities and public benefit corporations -- any entity of State government headed by an appointee of the Governor that does not have its own statutory Inspector General. Our jurisdiction encompasses more than 150 entities employing the vast majority of New York State's nearly 190,000 public servants, as well as private entities doing business with the State.

In the 2007-08 fiscal year, the complaints made to this Office tripled and continue to grow. With the increased number and complexity of the cases, current staff have worked hard to efficiently carry out our mandate within our current fill level. During the fiscal crisis upon us, NYSIG will continue to efficiently manage its resources to maintain the high quality and standards of this Office implementing cost savings at the same time.

A.4 Treatment of New Initiatives

At this time, NYSIG has only one initiative that was begun last year and has been temporarily put on hold. This office committed to increasing our scrutiny of public authorities and has begun a partnership with the Authorities Budget Office within the Division of the Budget on this project. This partnership would require additional staff dedicated to the project. To maintain spending cuts, we will not be hiring additional staff to assign to this project. Until the time when we can again revisit this project, we will maintain our contact with the Authorities Budget Office and assign any needed resources we can manage to any referrals that result from this partnership.

B. Summary of General Fund Financial Impacts

GENERAL FUND -- SAVINGS SUMMARY			
	Required Reduction	2008-09 Savings	2009-10 Savings
Local Assistance	N/A	N/A	N/A
Personal Service	N/A	\$172,000	\$172,000
Non-personal Service	N/A	\$28,000	\$28,000
Total State Operations	\$200,000	\$200,000	\$200,000
Capital	N/A	N/A	N/A
TOTAL	\$200,00	\$200,000	\$200,000

GENERAL FUND -- YEAR-TO-YEAR CHANGE, AFTER SAVINGS			
	2007-08 Actual	Revised Projection	Percent Change
Local Assistance	N/A	N/A	N/A
Personal Service	\$5,237,170	\$6,239,000	19%
Non-personal Service	\$1,241,995	\$858,000	-30%
Total State Operations	\$6,479,165	\$7,097,000	10%
Capital	N/A	N/A	N/A
TOTAL	\$6,479,165	\$7,097,000	10%

C. Identification of Proposed General Fund Actions

	<u>2008-09 Cash</u>	<u>2009-10 Cash</u>	<u>2010-11 Cash</u>
GENERAL FUND			
Local Assistance	N/A	N/A	N/A
State Operations			
1. Staff	\$172,000	\$172,000	\$172,000
2. Computers	\$6,000	\$6,000	\$6,000
3. Cars	\$22,000	\$22,000	\$22,000
Capital Projects	N/A	N/A	N/A

D. Summary of Impact on Other Funds:

N/A

E. Identification of Proposed Other Fund Actions:

N/A

F. Plan to Manage the Workforce*F.1 Overall Approach*

In order to achieve the required savings, this Office will not exceed the staffing level of 68 persons. In addition, non-critical positions that become vacant will not be filled, which may result in additional reductions to this Office's staffing plan.

F.2 Plan for Refill of Vacant Positions (both current and anticipated vacancies)

As stated above, only critical vacancies will be filled. Lower-level positions that become vacant and which are not crucial to our mission will remain unfilled. Currently, there are senior management positions critical to this Office that are, or will become vacant shortly, that we will be filling.

Workforce Impact -- All Funds	
a. Initial Target:	70
b. Current Fills PP# 3	64.6
c. Recurring impact of proposed actions (see Parts C & E)	2
d. Recurring impact of vacancy-refilling plan (see F.2)	5.4
e. Total FTEs March 31, 2009 (line b minus line c, plus/minus line d)	68
f. Change from Initial 2008-09 Target (line a minus line e)	- 2

G. Monthly Projections: All Funds Workforce; General Fund State Operations/Local/Capital

	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	JAN	FEB	MAR	TOTAL
A. Workforce - All Funds													
Est FTEs Month-end	64.6	64.6	65.6	64.6	66.6	68.0	68.0	68.0	68.0	68.0	68.0	68.0	
B. State Operations													
Personal Service	Apr-08	May-08	Jun-08	Jul-08	Aug-08	Sep-08	Oct-08	Nov-08	Dec-08	Jan-09	Feb-09	Mar-09	Total
1st PP	207,222	229,384	237,573	234,194	243,617	247,463	247,463	247,463	247,463	247,463	247,463	247,463	2,884,231
2nd PP	214,649	229,384	237,573	234,194	243,617	247,463	247,463	247,463	247,463	247,463	247,463	247,463	2,891,658
3rd PP (if applies)	215,634	0	0	0	0	0	247,463	0	0	0	0	0	463,097
Subtotal PS	637,505	458,768	475,146	468,388	487,234	494,926	742,389	494,926	494,926	494,926	494,926	494,926	6,238,986
NPS	71,500	71,500	71,500	71,500	71,500	71,500	71,500	71,500	71,500	71,500	71,500	71,500	858,000
Total Disbursements -- State Ops	709,005	530,268	546,646	539,888	558,734	566,426	813,889	566,426	566,426	566,426	566,426	566,426	7,096,986
C. Local Assistance													
Program x	0	0	0	0	0	0	0	0	0	0	0	0	0
Program y	0	0	0	0	0	0	0	0	0	0	0	0	0
Program z	0	0	0	0	0	0	0	0	0	0	0	0	0
All other local assistance	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements - Local	0												
D. Capital Projects													
Program/project aa	0	0	0	0	0	0	0	0	0	0	0	0	0
Program/project bb	0	0	0	0	0	0	0	0	0	0	0	0	0
Program/project cc	0	0	0	0	0	0	0	0	0	0	0	0	0
All other Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements - Capital	0												

H. Assumptions Underlying the Proposed Plan

The underlying assumptions of the staffing plan are based on resignations we are aware of at this time, as well as current vacancies we need to fill. There may be additional resignations for positions we may or may not need to refill. Based on our current projections for staff, we will be able to maintain a reduced fill level of 68 persons resulting in personal service savings. As for non-personal service spending, the underlying assumptions are based on the fact that a number of necessary purchases were made in the prior fiscal year thereby reducing the need to spend on these same items in the current fiscal year, as well as the out years.

I. Management of Risks Inherent in the Plan

As any adverse issues with this spending plan arise, they will be dealt with on a case by case basis.

J. Additional Savings Opportunities for 2008-09

This Agency is also putting in place policies to reduce the amount of out-of-state training permitted, as well as explore more opportunities for staff to attend no-cost training at locations near their official work station. NYSIG will also restrict the amount of in-state travel to only those trips that are absolutely necessary to carry out the mission of this Office. By putting these restrictions in place, we anticipate savings above those which are required.

K. Potential Future Savings Opportunities/Operational Improvements (indicate if statutory change is required)

The above-mentioned policies will remain in place for the duration of the fiscal crisis in order to achieve our current spending reduction goals.