

STATE UNIVERSITY OF NEW YORK

MISSION

Created in 1948, the State University of New York (SUNY) is the largest public university system in the nation with 64 campuses offering a complete range of academic, professional and vocational programs. The State University system is uniquely positioned to provide New Yorkers with access to quality postsecondary educational programs in all regions of the State.

ORGANIZATION AND STAFFING

The three major components of the State University's mission – teaching, public service and research – are carried out by:

- Eight campuses offering academic programs through the doctoral level and serving as research centers;
- Fourteen comprehensive colleges providing undergraduate education in a variety of academic fields;
- Seven colleges of technology focusing on the development of a workforce with the advanced skills required by an increasingly technology-dependent economy;
- Five statutory and contract colleges at Alfred and Cornell universities that offer nationally acclaimed programs in ceramics, veterinary medicine, agriculture and life sciences, industrial and labor relations, and human ecology;
- Thirty community colleges offering access to a wide array of higher education opportunities that prepare students for transfer to senior colleges or train them for a variety of technical or paraprofessional occupations; and
- Three teaching hospitals in Stony Brook, Brooklyn and Syracuse, along with a medical school in Buffalo, which provide graduate medical education training for SUNY students as well as valuable health care services for their host communities.

The University is governed by a Board of Trustees consisting of 17 members, with 15 appointed by the Governor to staggered seven-year terms and approved by the Senate, and two ex-officio trustees representing the student assembly and faculty of the State University. The Board oversees the operations of the University's State-operated campuses and also exercises general supervisory authority over the community colleges, which are sponsored by local governments and governed by local boards of trustees.

The chief executive officer of the University is the Chancellor who is appointed by the Board of Trustees. Individual college presidents are also appointed by the Board.

The State University's operating budget supports an estimated 40,632 full-time equivalent positions, consisting of 24,130 positions that are supported through a combination of State tax dollars and tuition revenues and 16,502 positions supported by other funds. Community college staff are not included in these totals, as they are not employees of the State.

BUDGET HIGHLIGHTS

The Executive Budget recommends **\$8.4 billion All Funds** (\$2.8 billion General Fund and \$5.6 billion Other Funds) for the State University of New York. The Budget includes General Fund growth in personal service costs (as a result of collective

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bargaining contracts), non-personal services and fringe benefits; General Fund decreases associated with tuition offset and community college base aid and other program reductions; Other Fund changes associated primarily with revenue from tuition rate increases; and the reappropriation of a \$4.1 billion multi-year capital program in 2008-09.

Major budget actions include:

- **Reduce General Fund Support to Reflect Additional Revenue from Increased State-Operated Campus Tuition Rates:** The Executive Budget reflects recent actions by the SUNY Board of Trustees to increase annual resident tuition rates for all degree categories by 14 percent (resulting in a \$620 increase in the undergraduate tuition rate, from \$4,350 to \$4,970) and annual non-resident tuition rates for all degree categories by 21 percent. The Executive Budget also assumes that tuition rates for resident graduate and first-professional students will be increased in 2009-10 to levels that are 21 percent above those charged for the fall 2008 semester. In a departure from the more than 30 year old practice of using 100 percent of revenue resulting from tuition increases to offset General Fund spending, SUNY will retain 20 percent of the revenue for increased investment. This budget action generates net General Fund savings of \$132 million and campuses will retain \$33 million.
- **Authorize Differential Tuition for Non-Resident Students:** The Executive Budget would authorize the SUNY Board of Trustees to establish differential tuition rates for non-New York State resident students by individual campus and program. This change would enable campuses and/or individual campus programs with regional or national appeal to better capitalize on their success. Statutory language will require the SUNY Board to establish appropriate maximum percentage thresholds for non-resident students by campus and/or program to ensure continued access to eligible New York State resident students. The Executive Budget assumes that campuses will retain all incremental revenue generated as a result of this change.
- **Reduce Community College Base Aid:** The Executive Budget recommends reducing base aid support for SUNY's 30 community colleges by 10 percent. On average, support per full-time equivalent (FTE) student would decrease by \$270, from \$2,675 to \$2,405. However, the Executive Budget distributes the reduction in a manner such that support for colleges with less than 3,000 FTE students would be reduced by \$160, colleges with from 3,000 to 6,000 students would see a \$230 reduction, and support for colleges with more than 6,000 students would be reduced by \$300. This reflects the capacity of larger campuses, which have more diverse funding streams and greater economies of scale, to better manage such reductions. This budget action would generate General Fund savings of \$46 million.
- **Reduce Subsidy for SUNY Hospitals:** The Executive Budget includes \$129 million for annual subsidy payments to SUNY's hospitals at Brooklyn, Stony Brook and Syracuse. This reflects a \$25 million reduction from the 2008-09 funding level. The subsidy payments recognize the additional costs that SUNY hospitals bear as State entities when compared to their private peers.
- **Reduce or Eliminate Support for Various University-wide Programs and Institutes:** The Executive Budget recommends \$23 million of savings associated with the reduction or elimination of various line item University-wide programs and institutes. Programs with State funding reduced by 15 percent include: the Empire Innovation Program, High Need and High Need Nursing Programs,

Native American Program, Rockefeller Institute of Government (RIG) Operations, RIG Statistical Year Book, RIG Philip Weinberg Senior Fellowship, Charter Schools Institute Operations, University Computer Center, Educational Technology Initiative, Library Automation, Small Business Development Centers, University Telecommunications Network, Tuition Reimbursement, State University Student Tuition Assistance, Child Care Centers, Empire State Scholarships, Just for the Kids at the University at Albany, Graduate Diversity Fellowships, and the Office of Diversity and Educational Equity. Programs with State funding reduced by 50 percent include: the Multidisciplinary Center for Earthquake Engineering at the University at Buffalo, Non-doctoral Graduate Research Initiatives, Library Conservation and Preservation Research Institute on Addictions at the University at Buffalo, Sea Grant Institute at Stony Brook University, Two Year College Development, Stony Brook University/Cold Spring Harbor Laboratory/Brookhaven National Laboratory Research Alliance Planning Study, Academic Equipment Replacement, Development Centers for Business and Industry, New York Network, Strategic Partnership for Industrial Resurgence, Faculty Diversity Program, University-Wide Governance, and Student Computing Access. Programs with State funding recommended for elimination include: the Sportsmanship Institute at Cortland, Nanoscale Science and Engineering at the University at Albany, and the Appointments Program at SUNY Maritime.

- **Eliminate Support for the Neil D. Levin Institute:** The Executive Budget recommends elimination of \$3.1 million of General Fund operating support for the Neil D. Levin Institute. It is assumed that the Institute's 45,000 square foot headquarters located on East 55th Street in Manhattan will be utilized for a suitable higher educational purpose. The building will retain the name of Neil D. Levin and, it is expected, that the SUNY Board of Trustees will take appropriate action to ensure that Mr. Levin's service and sacrifice continues to be recognized.
- **Reduce General Fund Support to Reflect Positive Cash Flow in Revenue Generating Accounts:** The Executive Budget assumes that \$40 million of positive operating cash flows from SUNY's General Income Fund Reimbursable account will be used as an offset to General Fund support.
- **Assess the SUNY Research Foundation for Use of University Facilities:** The Executive Budget assumes that SUNY will require its Research Foundation to pay 10 percent (\$7.8 million) of its indirect cost recoveries on Federal grants as partial reimbursement for using State-funded facilities, and reduces General Fund support by a commensurate amount.

STATE-OPERATED COLLEGES

The Executive Budget provides \$7.2 billion to support the 29 State-operated campuses, central administration and University-wide programs. In addition to \$2.2 billion in support from the General Fund, the University's operating budget includes \$5.0 billion in activities funded by other revenues. Revenue-generating entities and programs include: the three teaching hospitals at Brooklyn, Stony Brook and Syracuse; the Long Island Veterans' Home (which is administered by the Stony Brook Health Science Center); dormitory operations; food services; and tuition-supported activities, including summer session and overseas academic studies.

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2009-10 gross operating support for State-operated colleges and schools will total \$3.5 billion, representing an increase of \$103 million or 3 percent. Within this amount, taxpayer support will total nearly \$2.2 billion, a decrease of \$169 million or 7.1 percent. SUNY's General Revenue Offset Account, the primary repository of tuition revenues, will total \$1.28 billion, an increase of \$232 million from 2008-09 levels attributable to \$165 million in new revenues from tuition rate increases, \$59 million from enrollment growth, and \$7.8 million in indirect cost recoveries from the SUNY Research Foundation.

SUNY's special revenue funding, exclusive of the General Revenue Offset Account, will total \$3.2 billion, an increase of \$4 million, including:

- A decrease of \$85 million for the Stabilization Account, for which appropriations have a two-year life and are, therefore, appropriated every other year;
- An increase of \$160 million for the SUNY hospitals, reflecting collectively bargained salary increases and support for their revenue-generating initiatives;
- A decrease of \$44 million for the State University Tuition Reimbursable Account (SUTRA), a net change reflecting the shift of appropriations to the General Revenue Offset account to accommodate revenue from enrollment growth, collective bargaining agreements and inflationary cost increases;
- An increase of \$94 million for the General Income Fund Reimbursable account (IFR), reflecting general inflationary costs, enrollment growth, and the \$40 million in operating costs that will be supported in 2009-10 by positive cash flows in this account.
- Additional inflationary adjustments of \$10 million for the Dormitory IFR account.

For 2009-10, Federal appropriations totaling \$299 million will support the Pell grant program and various other student financial aid programs.

STATUTORY COLLEGES

The Executive Budget recommends a total of \$165.7 million in General Fund support for the operations of the five statutory colleges at Cornell and Alfred universities. The four statutory colleges at Cornell (Agriculture and Life Sciences, Human Ecology, Veterinary Medicine, and Industrial and Labor Relations) would receive \$99.8 million, a net increase of \$3.3 million from 2008-09 appropriation levels, reflecting \$14.4 million in increases from collective bargaining and inflation, offset by the continuation of \$5.7 million in 2008-09 mid-year reductions allocated by the SUNY Board of Trustees, and 2009-10 funding reductions of \$5.4 million. In addition, the Budget recommends \$55 million to support the land grant mission of Cornell University, a \$5 million decrease from 2008-09 appropriation levels, reflecting the continuation of 2008-09 mid-year reductions allocated by the SUNY Board. The College of Ceramics at Alfred University would receive \$10.4 million, a \$0.5 million net increase from 2008-09 appropriation levels, reflecting \$1.7 million in increases from collective bargaining and inflation, offset by the continuation of \$0.6 million in 2008-09 mid-year reductions allocated by the SUNY Board and 2009-10 funding reductions of \$0.6 million.

SUNY HOSPITALS

The 2009-10 Executive Budget continues the appropriation structure adopted in 2001-02 for the SUNY hospitals. All hospital spending is appropriated in one account with the hospitals being responsible for direct payment of their fringe benefit and debt

service costs. Under this appropriation structure, the SUNY hospitals will continue to be directly accountable for operating within their available revenues, with those revenues supplemented by a State subsidy amount.

The recommended 2009-10 State subsidy for the SUNY hospitals, which recognizes costs attributable to their State agency status, is decreased by \$25 million, from \$154 million to \$129 million.

COMMUNITY COLLEGES

SUNY's 30 community colleges have three basic funding sources: State support, local support, and tuition revenue. The Executive Budget recommends \$430 million in State support, a net decrease of \$28 million from 2008-09 funding levels. This change is attributable to an \$18 million increase for additional enrollment, a decrease of \$46 million resulting from the Executive Budget recommendation to reduce base operating aid by an average of \$270 per student FTE (from \$2,675 to \$2,405) and the annualization of 2008-09 reductions in State support of categorical programs.

CORNELL COOPERATIVE EXTENSION

County cooperative extension associations – funded by State, county and Federal contributions – provide specialized information and assistance in community development, agricultural technology, 4-H youth development and consumer and family education. The Executive Budget recommends nearly \$3.7 million in State support for this program.

CAPITAL PROJECTS

The 2008-09 Enacted Budget provided SUNY with \$4.1 billion in new capital appropriations, a major step in the implementation of a new, \$6.3 billion multi-year capital plan for SUNY's educational facilities, hospitals, residence halls and community colleges. The 2009-10 Executive Budget continues this commitment to the rehabilitation of SUNY's educational facilities infrastructure. The second of five annual \$550 million appropriations will continue to address the accumulated backlog of critical maintenance projects throughout the University system. The Executive Budget also includes \$41 million for the State's 50 percent share of capital projects for community college campuses that have secured local sponsor resolutions.

PROGRAM HIGHLIGHTS

The State University of New York is the nation's largest comprehensive public university, offering 7,669 degree and certificate programs at the research universities, community colleges, medical schools and colleges of technology that comprise its 64 campuses. The State University enrolls approximately 40 percent of college-bound students graduating from New York State high schools. Nearly 440,000 full-time and part-time SUNY students— 217,858 at State-operated campuses and 221,766 in community colleges— are pursuing studies ranging from one-year certificate programs to doctorates.

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In 2008, two SUNY campuses, Geneseo and Binghamton, were ranked among the top 10 best values for public campuses in the nation by *Kiplinger's Personal Finance* magazine. The academic profile of incoming students continues to grow stronger at SUNY campuses. Nearly three-fourths of students that enrolled at SUNY University Centers in fall of 2007 had SAT scores above 1100, comparable to admission profiles found at other top public flagship institutions across the country. Overall, SUNY campuses averaged 1150 on the SAT, more than 133 points above the national average.

The State University is also working to expand the volume of scholarly research conducted by its faculty, and is more aggressively seeking Federal and other external support for research. As a result, SUNY sponsored research expenditures totaled \$784 million in 2007-08. Research grants at SUNY now underwrite more than 7,650 projects, which directly support more than 17,400 full and part-time jobs across New York State.

SUNY campuses are partners in the State's six University-based Centers of Excellence, a high-technology initiative that will continue New York's advancement in the areas of university-based research, business creation and job development, including:

- Albany Center of Excellence in Nanoelectronics, a semiconductor research center at SUNY-Albany;
- Buffalo Center of Excellence in Bioinformatics which includes the Life Sciences Complex used for super-computing, pharmaceutical research and workforce development; and
- Long Island Center of Excellence in Wireless Internet and Information Technology in tandem with several other high-tech research projects such as the Bioinformatics Research Center at Cold Spring Harbor Laboratory.

The State University's 30 locally sponsored community colleges serve as a source of skilled employees and play a role in the State's efforts to attract and retain businesses seeking an able workforce. Through their policy of open admissions, the community colleges also ensure access to postsecondary education.

From the curriculum and instruction in its classrooms, research in its laboratories, support from its alumni and its appeal to a growing number of college-bound students, the State University continues to elevate its ranking among the nation's finest public universities.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2008-09	Appropriations Recommended 2009-10	Change	Reappropriations Recommended 2009-10
State Operations	7,228,162,500	7,387,471,000	159,308,500	311,747,000
Aid To Localities	465,669,825	434,186,000	(31,483,825)	0
Capital Projects	4,138,766,000	591,965,000	(3,546,801,000)	7,090,632,000
Total	11,832,598,325	8,413,622,000	(3,418,976,325)	7,402,379,000

**ALL FUND TYPES
PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM
FILLED ANNUAL SALARIED POSITIONS**

Full-Time Equivalent Positions (FTE)			
Program	2008-09 Estimated FTEs 03/31/09	2009-10 Estimated FTEs 03/31/10	FTE Change
All State University Colleges and Schools			
General Fund	24,130	24,130	0
Special Revenue Funds - Federal	3	3	0
Special Revenue Funds - Other	16,497	16,497	0
Capital Projects Funds - Other	2	2	0
Total	40,632	40,632	0

**STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS
(dollars)**

Fund Type	Available 2008-09	Recommended 2009-10	Change
General Fund	2,529,751,500	2,360,069,000	(169,682,500)
Special Revenue Funds - Federal	275,500,000	298,500,000	23,000,000
Special Revenue Funds - Other	4,410,501,000	4,713,602,000	303,101,000
Internal Service Funds	12,410,000	15,300,000	2,890,000
Total	7,228,162,500	7,387,471,000	159,308,500

Adjustments:	
Transfer(s) From	
Special Pay Bill	
General Fund	(128,769,000)
Special Revenue Funds - Other	(140,698,000)
Appropriated 2008-09	6,958,695,500

**STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

Program	Available 2008-09	Recommended 2009-10	Change
All State University Colleges and Schools			
General Fund	1,468,204,500	1,200,763,000	(267,441,500)
Special Revenue Funds - Federal	275,500,000	298,500,000	23,000,000
Special Revenue Funds - Other	4,410,501,000	4,713,602,000	303,101,000
Internal Service Funds	12,410,000	15,300,000	2,890,000
Employee Fringe Benefits			
General Fund	1,061,547,000	1,159,306,000	97,759,000
Total	7,228,162,500	7,387,471,000	159,308,500

**STATE OPERATIONS - GENERAL AND OFFSET FUNDS
SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES
2009-10 RECOMMENDED
(dollars)**

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
All State University Colleges and Schools	2,065,765,000	16,235,200	1,910,821,000	11,479,200
Total	2,065,765,000	16,235,200	1,910,821,000	11,479,200

Program	Temporary Service (Nonannual Salaried)		Holiday/Overtime Pay	
	Amount	Change	Amount	Change
All State University Colleges and Schools	142,546,000	4,939,300	12,398,000	(183,300)
Total	142,546,000	4,939,300	12,398,000	(183,300)

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**STATE OPERATIONS - GENERAL AND OFFSET FUNDS
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
2009-10 RECOMMENDED
(dollars)**

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
All State University Colleges and Schools	456,782,000	(11,915,700)	53,742,000	(40,224,500)
Employee Fringe Benefits	1,159,306,000	97,759,000	0	0
Total	<u>1,616,088,000</u>	<u>85,843,300</u>	<u>53,742,000</u>	<u>(40,224,500)</u>

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
All State University Colleges and Schools	12,495,000	871,000	353,100,000	37,097,300
Employee Fringe Benefits	0	0	0	0
Total	<u>12,495,000</u>	<u>871,000</u>	<u>353,100,000</u>	<u>37,097,300</u>

Program	Equipment		General State Charges	
	Amount	Change	Amount	Change
All State University Colleges and Schools	37,445,000	(5,359,500)	0	(4,300,000)
Employee Fringe Benefits	0	0	1,159,306,000	97,759,000
Total	<u>37,445,000</u>	<u>(5,359,500)</u>	<u>1,159,306,000</u>	<u>93,459,000</u>

**STATE OPERATIONS - OTHER THAN GENERAL FUND AND OFFSET FUNDS
SUMMARY OF APPROPRIATIONS AND CHANGES
2009-10 RECOMMENDED
(dollars)**

Program	Total		Personal Service	
	Amount	Change	Amount	Change
All State University Colleges and Schools	3,670,618,000	22,230,000	1,319,786,000	(5,678,300)
Total	<u>3,670,618,000</u>	<u>22,230,000</u>	<u>1,319,786,000</u>	<u>(5,678,300)</u>

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
All State University Colleges and Schools	2,309,632,000	26,128,300	41,200,000	1,780,000
Total	<u>2,309,632,000</u>	<u>26,128,300</u>	<u>41,200,000</u>	<u>1,780,000</u>

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS
(dollars)**

Fund Type	Available 2008-09	Recommended 2009-10	Change
General Fund	465,669,825	434,186,000	(31,483,825)
Total	<u>465,669,825</u>	<u>434,186,000</u>	<u>(31,483,825)</u>

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

Program	Available 2008-09	Recommended 2009-10	Change
Community Colleges General Fund	458,618,525	430,266,000	(28,352,525)
County Cooperative Extension Program - Cornell University General Fund	3,920,000	3,920,000	0
Community Projects General Fund	3,131,300	0	(3,131,300)
Total	<u>465,669,825</u>	<u>434,186,000</u>	<u>(31,483,825)</u>

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CAPITAL PROJECTS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Comprehensive Construction Program	Available 2008-09	Recommended 2009-10	Change	Reappropriations 2009-10
Senior Universities				
General Maintenance and Improvements				
Capital Projects Fund	0	0	0	15,404,000
Capital Projects Fund - Advances	2,675,613,000	550,000,000	(2,125,613,000)	5,075,613,000
State University Capital Projects Fund				
State University Capital Projects Fund	500,000,000	0	(500,000,000)	663,000,000
State University Residence Hall Rehabilitation Fund				
State University Residence Hall Rehabilitation Fund	123,000,000	0	(123,000,000)	142,017,000
SUNY Dorms (Direct Auth Bonds)	450,000,000	0	(450,000,000)	607,945,000
Subtotal	<u>3,748,613,000</u>	<u>550,000,000</u>	<u>(3,198,613,000)</u>	<u>6,503,979,000</u>
Community Colleges				
General Maintenance and Improvements				
Capital Projects Fund	20,000,000	0	(20,000,000)	50,000,000
Capital Projects Fund - Advances	0	0	0	18,000,000
Cap Proj Fund - SUNY CC (Direct Auth Bonds)	370,153,000	41,965,000	(328,188,000)	518,653,000
Subtotal	<u>390,153,000</u>	<u>41,965,000</u>	<u>(348,188,000)</u>	<u>586,653,000</u>
Total	<u>4,138,766,000</u>	<u>591,965,000</u>	<u>(3,546,801,000)</u>	<u>7,090,632,000</u>

