

## Ethics and Lobbying, Independent Commission on

### Mission

The mission of the Independent Commission on Ethics and Lobbying is to promote public trust in government by ensuring compliance with the State's ethics and lobbying laws. The Independent Ethics Commission Reform Act of 2022 establishes the Commission and grants broad oversight over the executive and legislative branches of government.

### Organization and Staffing

The Commission consists of five board members chosen by a selection committee consisting of the 15 state-accredited law school deans or their designees. Members shall serve four-year terms on a staggered and rotating basis. Commission members appoint an executive director who serves a four-year term and is responsible for carrying out the duties and responsibilities of the Commission.

### Budget Highlights

The FY 2023 Executive Budget recommends \$5.6 million in All Funds appropriations and a projected workforce of 46 FTEs.

### Program Highlights

The Commission has jurisdiction over executive and legislative officials and employees, candidates for statewide and legislative elected office, political party chairs, and lobbyists and their clients. The Commission requires all individuals and entities under its jurisdiction to take regular ethics and lobbying training. Additionally, the Commission reviews annual financial disclosure filings from state elected officials and legislative members for deficiency and conflicts. The Commission provides confidential advice and guidance to all individuals and entities under its jurisdiction and holds an annual public hearing to gain advice from external stakeholders relating to recommendations for legislative change.

### ALL FUNDS APPROPRIATIONS (dollars)

Category	Available FY 2022	Appropriations Recommended FY 2023	Change From FY 2022	Reappropriations Recommended FY 2023
State Operations	5,594,000	5,594,000	0	0
<b>Total</b>	<b>5,594,000</b>	<b>5,594,000</b>	<b>0</b>	<b>0</b>

### ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Program	FY 2022 Estimated FTEs 03/31/22	FY 2023 Estimated FTEs 03/31/23	FTE Change
Public Ethics			
General Fund	47	47	0
<b>Total</b>	<b>47</b>	<b>47</b>	<b>0</b>

### STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available FY 2022	Recommended FY 2023	Change
-----------	----------------------	------------------------	--------

NYS DOB | FY2023 Executive Budget | Agency Appropriations

General Fund	5,594,000	5,594,000	0
<b>Total</b>	<b>5,594,000</b>	<b>5,594,000</b>	<b>0</b>

**STATE OPERATIONS  
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM  
APPROPRIATIONS  
(dollars)**

Program	Available FY 2022	Recommended FY 2023	Change
Ethics and Lobbying			
General Fund	0	5,594,000	5,594,000
Public Ethics			
General Fund	5,594,000	0	(5,594,000)
<b>Total</b>	<b>5,594,000</b>	<b>5,594,000</b>	<b>0</b>

**STATE OPERATIONS - GENERAL FUND  
SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES  
FY 2023 RECOMMENDED  
(dollars)**

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Ethics and Lobbying	4,682,000	4,682,000	4,637,000	4,637,000
Public Ethics	0	(4,682,000)	0	(4,637,000)
<b>Total</b>	<b>4,682,000</b>	<b>0</b>	<b>4,637,000</b>	<b>0</b>

Program	Temporary Service (Nonannual Salaried)		Holiday/Overtime Pay	
	Amount	Change	Amount	Change
Ethics and Lobbying	0	0	45,000	45,000
Public Ethics	0	0	0	(45,000)
<b>Total</b>	<b>0</b>	<b>0</b>	<b>45,000</b>	<b>0</b>

**STATE OPERATIONS - GENERAL FUND  
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED  
APPROPRIATIONS AND CHANGES  
FY 2023 RECOMMENDED  
(dollars)**

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Ethics and Lobbying	912,000	912,000	80,000	80,000
Public Ethics	0	(912,000)	0	(80,000)
<b>Total</b>	<b>912,000</b>	<b>0</b>	<b>80,000</b>	<b>0</b>

NYS DOB | FY2023 Executive Budget | Agency Appropriations

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Ethics and Lobbying	40,000	40,000	742,000	742,000
Public Ethics	0	(40,000)	0	(742,000)
<b>Total</b>	<b>40,000</b>	<b>0</b>	<b>742,000</b>	<b>0</b>

Program	Equipment	
	Amount	Change
Ethics and Lobbying	50,000	50,000
Public Ethics	0	(50,000)
<b>Total</b>	<b>50,000</b>	<b>0</b>

Note: Most recent estimates as of 05/11/2020